

TERMS AND CONDITIONS OF GRANTS

These Terms and Conditions together with the Award Letter, the Admin Officer Letter and any Special Conditions, constitute the entire agreement between the Cystic Fibrosis Trust, Guts UK, the Administering Institution and the Grant Holder in respect of the Grant (Grant Contract).

The Administering Institution warrants that the person who signed the Award Letter on its behalf was duly authorised to do so and to bind the Administering Institution to this Grant Contract.

Each party to the Grant Contract acknowledges that it has not entered into the Grant Contract on the basis of any warranty, representation, statement, agreement or undertaking except those expressly set out in the Grant Contract.

If there is any conflict between any of these Terms and Conditions, Special Conditions and the Award Letter, the provisions shall prevail in the following priority:

- first the Award Letter;
- second the Special Conditions; and
- third these Terms and Conditions.

The Administering Institution must ensure that the Grant Holder complies with the Grant Contract.

Refer to term 12 for a list of definitions used in this Grant Contract.

1. Use of Grant

The Administering Institution shall not use the Grant for any purpose other than the Grant Activities.

2. Grant supported staff

- 2.1 Cystic Fibrosis Trust/Guts UK do not employ the Grant Holder or any other person working on the Grant Activities.
- 2.2 The Administering Institution must ensure that any necessary consultancy agreements or contracts of employment, which must be in compliance with relevant laws and regulations, are issued to the Grant Holder and anyone else supported by the Grant (including staff and students).
- 2.3 Cystic Fibrosis Trust/Guts UK do not accept any responsibility for any liabilities, costs or claims for which the Administering Institution may be liable as an employer, contractor of staff, or PhD supervisor including, without limitation, redundancy, compensation, dismissal or discrimination claims.

- 2.4 The Administering Institution must not use the Grant to fund recruitment costs or the paid leave entitlements of staff or students supported by the Grant.
- 2.5 Where the Grant includes a salary allocation for staff, the Administering Institution may use it to pay the employer's national insurance contributions and an employer's pension contribution.
- 2.6 Any expected salary or stipend increases should be included in the grant budget. Any salary or stipend increases or costs not included in the grant budget must be covered by the Administering Institution.
- 2.7 Unless agreed in the award letter, Cystic Fibrosis Trust/Guts UK are not responsible for the payment of any university or college fees.

3. Research Practice

- 3.1 The Administering Institution must ensure that all the necessary legal and regulatory requirements in order to conduct the research are met and all the necessary licences and Ethical Committee approvals have been obtained. Where any part of the research is to be conducted outside the UK such legal and regulatory requirements, and such licences and approvals, should include those applicable in the additional countries involved.
- 3.2 The Administering Institution must have in place formal written procedures for the handling of allegations of research misconduct and on request must make those available to Cystic Fibrosis Trust/Guts UK.
- 3.3 The Grant Holder or any other person working on the Grant Activities must carry out any animal research using the Grant in accordance with the appropriate Home Office licences and certificates which must remain valid. The Administering Institution must ensure that research involving animals gives due consideration to the refinement, reduction and replacement of animals in research and adheres to the principles in the NC3Rs "Responsibility in the Use of Animals in Bioscience Research".
- 3.4 Site visits may be made at any time subject to mutual agreement.

4. Financial Control

- 4.1 Payment of the Grant will not commence until the Grant Budget, including salary or stipend details are provided and a breakdown of consumable and other miscellaneous costs, has been confirmed by the Administering Institution's Administrative Officer in the Admin Officer Letter. Cystic Fibrosis Trust/Guts UK will not cover any expenditure not stated in the Grant Budget. Conference travel expenses and publication costs will only be covered if allowed by the grant application guidelines published by Cystic Fibrosis Trust/Guts UK for the type of the project funded by the Grant.
- 4.2 The Grant Budget in the Admin Officer Letter cannot include any items of expenditure which are disallowed in the grant application guidelines published by Cystic Fibrosis Trust/Guts UK for the type of project funded by the Grant.
- 4.3 Grant instalments are paid to the Administering Institution quarterly, in arrears, subject to receipt by Guts UK of an invoice. Quarterly invoices must state the start and end dates of the period covered by the invoice and provide a breakdown of costs incurred against the items of expenditure in the Grant Budget.
- 4.4 All invoices must be submitted within 6 months of the end of the Grant Period.

- 4.5 Guts UK will not pay the final claim until they have received the Final Report (see term 6.2) and they and Cystic Fibrosis Trust are satisfied that the Grant Activities have been delivered in accordance with the Award Letter and any Special Conditions.
- 4.6 Guts UK will not pay any part of the Grant relating to Grant Activities undertaken after the end of the Grant Period.
- 4.7 Cystic Fibrosis Trust/Guts UK has the right to request from the Administering Institution, at any time, any financial information in respect of the Grant.
- 4.8 The Administering Institution must maintain separate and accurate financial records to show how the Grant has been used. The Administering Institution shall allow Cystic Fibrosis Trust/Guts UK or their representatives access to inspect or audit, both during or after the Grant Period, such records and the use of the Grant, and to take copies at Cystic Fibrosis Trust/Guts UK's expense.
- 4.9 If the Grant Holder or the Administering Institution has failed to comply with the Grant Contract, including the submission of Interim Reports, or Cystic Fibrosis Trust/Guts UK are not satisfied (based on the information in the Interim Reports) with progress in the Grant Activities in the period covered by the report, Guts UK may withhold payment of any instalment of the Grant until the non-compliance or concern about progress is remedied to Cystic Fibrosis Trust/Guts UK's reasonable satisfaction.
- 4.10 In the event that the Grant Holder completes the project funded by the Grant prior to the end of the Grant Period, Guts UK will not make any further payments to the Administering Institution in respect of the Grant for salary and other costs covered by the Grant which are incurred from completion of the project to the end of the Grant Period;
- 4.11 Cystic Fibrosis Trust/Guts UK, at their discretion, may award any part of the Grant, which ceases to be payable under the Grant Contract, to the Grant Holder for another project on terms agreed between Cystic Fibrosis Trust/Guts UK and the Grant Holder and the Administering Institution.

5. Administration

- 5.1 It is expected that the Grant Activities will start within 6 months of the Award Date. Grant Holders must inform Guts UK immediately of any delays beyond 6 months with details of the reasons for the delay and a request for permission to change the Start Date. Failure to seek Cystic Fibrosis Trust/Guts UK's permission to change the Start Date will result in the immediate termination of the Grant Contract. Cystic Fibrosis Trust/Guts UK reserve the right to terminate the Grant Contract if the Grant Activities have not started within 6 months after the Award Date.
- 5.2 Grant Holders must inform Guts UK of any interruptions to the Grant Activities and produce a revised timescale for extending the Grant Period for approval by Cystic Fibrosis Trust/Guts UK (which have no obligation to extend). The Grant may be withdrawn, and the Grant Contract terminated by Cystic Fibrosis Trust/Guts UK if Cystic Fibrosis Trust/Guts UK consider the delays or revised timescale unacceptable.

6. Publication, Publicity, Reporting and Reviews

- 6.1 Grant Holders are required to submit a lay summary of their project supported by the Grant, a photograph of the Grant Holder (and/or research team/network), and a short statement about the importance of the Grant. These must be sent to Guts UK as soon as

possible and no later than one month after the Award Date. An additional lay summary must be submitted on completion of the project. The lay summaries will be reproduced on Guts UK's website and may also be used in future fundraising applications made by Guts UK.

- 6.2 The Grant Holder must submit to Guts UK the Final Report (in a form required by Cystic Fibrosis Trust/Guts UK) on the Grant Activities within three months of the end of the Grant Period.
- 6.3 The Grant Holder must send to Guts UK Interim Reports as required (if at all) by the Award Letter in respect of the periods specified.
- 6.4 The Grant Holder must disseminate the useful results of research funded by the Grant as soon as possible, though dissemination may be delayed with the written permission of Cystic Fibrosis Trust/Guts UK for a reasonable period in order to protect Cystic Fibrosis Trust /Guts UK-funded Intellectual Property in accordance with term 7.10.
- 6.5 Cystic Fibrosis Trust/Guts UK expect that the results of research carried out as part of the Grant Activities will be published in peer-reviewed journals. Grant Holders must inform Guts UK as soon as one of their abstracts or papers is accepted for publication. Publication of results may attract media coverage and the Grant Holder is required to co-operate in the preparation of relevant publicity material.
- 6.6 The support of Cystic Fibrosis Trust and Guts UK should be acknowledged in all publications, correspondence, presentations and information posted on websites given about the Grant Activities.
- 6.7 Grant Holders must ensure that all research abstracts and papers (based wholly or partly upon the research to be funded by the Grant) are forwarded to Guts UK upon publication. Grant Holders must continue to forward to Guts UK research papers relating to their Cystic Fibrosis Trust/Guts UK grant for five years after the end of the Grant Period.
- 6.8 The Administering Institution or Grant Holder must ensure that any written press statement associated wholly or partly with the research to be funded by the Grant is approved by Cystic Fibrosis Trust/Guts UK prior to release.
- 6.9 Grant Holders must take part in Cystic Fibrosis Trust and Guts UK research meetings and co-operate with Guts UK's public relations activities by agreeing, when given reasonable notice, to meet funders and potential funders as well as speaking at or attending fundraising events. Grant Holders are also required, when given reasonable notice, to review statements or publications by Guts UK within their fields of expertise.
- 6.10 Unless authorised by these Terms and Conditions, the Administering Institution, Cystic Fibrosis Trust or Guts UK shall not use the name, trademark or logo of the other without the written consent of the other.

7. Intellectual Property and Commercial Activities

- 7.1 As charities, Cystic Fibrosis Trust and Guts UK are under an obligation to ensure that the useful results of research that they fund (whether in whole or in part) are applied for the public good. In some circumstances, this obligation may be best achieved through the protection of intellectual property and commercial exploitation.

- 7.2 Cystic Fibrosis Trust/Guts UK require the Administering Institution to have procedures, and to take actions under them, for the identification, protection, management and exploitation of Intellectual Property (IP) taking into account circumstances where the research involves collaboration with and/or the contribution(s) of third parties. Cystic Fibrosis Trust/Guts UK require the Administering Institution to ensure that all persons in receipt of the Grant or working on the Grant Activities are employed, engaged or retained on terms that vest in the Administering Institution all Cystic Fibrosis Trust/Guts UK-funded IP.
- 7.3 The Administering Institution may not exploit, or grant any third parties the right to exploit, Cystic Fibrosis Trust/Guts UK-funded IP without the prior written consent of Cystic Fibrosis Trust/Guts UK (which shall not be unreasonably withheld). If Cystic Fibrosis Trust/Guts UK do not provide a response to the institution's written request within thirty days of receiving such request, the institution or its technology transfer subsidiary will automatically have the right to proceed with such commercial exploitation.
- 7.4 The Administering Organisation agrees that Cystic Fibrosis Trust/Guts UK shall be entitled to withhold consent until the Administering Organisation has entered into an appropriate revenue sharing agreement with the Cystic Fibrosis Trust/Guts UK, which shall reflect Cystic Fibrosis Trust/Guts UK contributions to the development of the Intellectual Property.
- 7.5 Cystic Fibrosis Trust/Guts UK will not bear the risk and costs involved in exploiting Cystic Fibrosis Trust/Guts UK-funded IP (unless they choose to do so under term 7.7).
- 7.6 Where the Administering Institution bears the risk and cost of applying for registered protection (including patents) in respect of Cystic Fibrosis Trust/Guts UK-funded IP, it will be entitled to recover its direct costs as a first charge upon the benefits of exploitation shared with Cystic Fibrosis Trust/Guts UK.
- 7.7 If the Administering Institution does not protect, manage or exploit any IP arising out of work funded by the Grant to the satisfaction of Cystic Fibrosis Trust/Guts UK or has indicated in writing that it will not protect identified Cystic Fibrosis Trust/Guts UK-funded IP, then Cystic Fibrosis Trust/Guts UK shall have the right, but not a duty, to protect, manage and exploit such Cystic Fibrosis Trust/Guts UK-funded IP. Such right shall be exercised after Cystic Fibrosis Trust/Guts UK have given the Administering Institution notice in writing. The Administering Institution agrees to, and will ensure that its employees, students and any third-party assist Cystic Fibrosis Trust/Guts UK in such protection and exploitation.
- 7.8 If Cystic Fibrosis Trust/Guts UK apply for registered protection (including patents) in their own names on any specific Cystic Fibrosis Trust/Guts UK-funded IP, Cystic Fibrosis Trust/Guts UK will bear the risk and cost of applying for patents and will be entitled to recover their direct costs as a first charge upon the benefits of exploitation shared between Cystic Fibrosis Trust, Guts UK and the Administering Institution.
- 7.9 The Administering Institution will provide detailed accounts of all income and benefits derived from commercial exploitation of Cystic Fibrosis Trust/Guts UK-funded IP and relative costs as required from time to time by Cystic Fibrosis Trust/Guts UK, and in any case not less than once a year.

- 7.10 With the written permission of Cystic Fibrosis Trust/Guts UK the Administering Institution and/or the Grant Holder may delay scientific publication for a reasonable period in order to file patents on inventions of potential commercial relevance before disclosure.
- 7.11 The Grant Holder shall not accept any commercial sponsorship, employment or benefit from commercialisation resulting from work being funded or part-funded by the Grant, without first seeking Cystic Fibrosis Trust/Guts UK's approval. Failure to do so may result in the Grant being suspended.
- 7.12 If the Administering Institution wishes to use a third party to undertake its obligations under clause 7 of these terms and conditions with respect to any Cystic Fibrosis Trust/Guts UK-funded IP, it must obtain Cystic Fibrosis Trust/Guts UK's prior written approval. The Administering Institution must also ensure that any such approved third party is made aware of and agrees to be bound by clause 7 of these terms and conditions.

8. Limitation of Liability and Insurance

- 8.1 In accepting the Grant, the Administering Institution agrees to indemnify Cystic Fibrosis Trust/Guts UK against costs, claims or liabilities (including legal costs) suffered or incurred by Cystic Fibrosis Trust/Guts UK as a result of any action, claim or complaint brought against Cystic Fibrosis Trust/Guts UK in connection with or arising from any Grant Activities.
- 8.2 The Administering Institution must take all reasonable precautions to safeguard the health and safety of the Grant Holder and others involved in the Grant Activities and all third parties affected thereby.
- 8.3 Cystic Fibrosis Trust/Guts UK accept no liability for any accident, injury or loss sustained by any person as a result of and/or in the course of the Grant Activities or the publication of the results of research funded by the Grant.
- 8.4 The Administering Institution must hold and maintain in force during the Grant Period, and for a period of six years after, public liability, employer's liability and professional indemnity insurance at a level appropriate to the risks involved.
- 8.5 The Grant Holder will be protected by the Administering Institution's insurance policies for any accident or mishap that may occur to him or any other person as a result of the Grant Activities.

9. Variation and Termination

- 9.1 If the Grant Holder wishes to move to another institution to complete his/her research, Guts UK must be informed immediately, and Cystic Fibrosis Trust/Guts UK's approval obtained for transfer of the Grant (with such conditions as Cystic Fibrosis Trust/Guts UK may impose).
- 9.2 Cystic Fibrosis Trust/Guts UK may amend these Terms and Conditions to apply to the Grant, subject to obtaining the consent of the Administering Institution and the Grant Holder.

- 9.3 The Grant is awarded to the person selected by Cystic Fibrosis Trust/Guts UK's Review Panel. Guts UK should be notified if, for any reason, the Grant Holder is unable to complete the project. Cystic Fibrosis Trust/Guts UK reserves the right to terminate the Grant Contract under these circumstances, and any decision concerning possible continuation is completely at its discretion.
- 9.4 Cystic Fibrosis Trust/Guts UK may terminate the Grant Contract if the Grant Holder or the Administering Institution is in breach of the Grant Contract.
- 9.5 Guts UK may terminate the Grant Contract if Cystic Fibrosis Trust who have agreed to fund the Grant breach any agreement with Guts UK to provide such funding.
- 9.6 Guts UK may suspend payment of the Award if Cystic Fibrosis Trust funding is not paid to Guts UK for onward payment to the Administering Institution, in which case Guts UK may (but shall be under no obligation to) seek alternative funding arrangements.

10. Data Protection Information

- 10.1 Guts UK may contact the Grant Holder, the Co-Applicants and the Administering Institutions by email, telephone or post about the Grant or other pertinent issues. Personal and other data on Grant applications and reports will be stored by Cystic Fibrosis Trust/Guts UK to aid the processing of applications and the management of the Grant, for auditing, review and evaluation purposes, and to communicate the scope and progress of the Grant through relevant channels. Information will not be shared with any third party except for aiding those purposes (e.g. grant data sharing with Grant co-funders or with the Association of Medical Research Charities).
- 10.2 Cystic Fibrosis Trust/Guts UK will store and process all personal information in accordance with the Data Protection Act 1998 (and any subsequent legislation and guidance relating to data protection, in particular the General Data Protection Regulation 2016 and the Data Protection Act 2018). Processing of personal data is necessary for the legitimate interests pursued by Cystic Fibrosis Trust/Guts UK and with other third parties as set out above and will be limited to that which is proportionate to those interests. Further information as to how Guts UK use and protect your data is available in Guts UK's Privacy Policy, accessible from www.gutscharity.org.uk.
- 10.3 The research carried out with the funds provided by Cystic Fibrosis Trust/Guts UK may involve the processing of personal data. The Administering Institution will be the data controller for the purposes of all research, and it is not expected that Cystic Fibrosis Trust/Guts UK would receive patient information. The Administering Institution will be required to comply with all relevant data laws such as those noted in 10.2 above in processing any personal data this will include responding to subject access requests from patients in relation to the research.

11. Governing Law

The Grant Contract is governed by, and construed in accordance with, English law. The English Courts will have exclusive jurisdiction to deal with any dispute in connection with a Grant Contract.

12. Definitions

Administering Institution	the institution at which some or all of the Grant Activities will be carried out as named in the Award Letter;
Admin Officer Letter	the letter signed by the Administrative Officer on behalf of the Administering Institution and received by Guts UK confirming the costs to be covered by the Grant;
Award Date	the date of the notification (prior to the issue of the Award Letter) by Cystic Fibrosis Trust/Guts UK to the Grant Holder that the Grant has been awarded to them;
Award Letter	the letter from Cystic Fibrosis Trust/Guts UK to, and signed by, the Administering Institution and the Grant Holder detailing the Grant;
Cystic Fibrosis Trust	Cystic Fibrosis Trust, a registered charity in England and Wales with No. 1079049;
Cystic Fibrosis Trust/Guts UK-funded IP	all IP arising from the Grant Activities;
Final Report	the final report on the Grant Activities and use of the Grant;
Grant	the grant described in the Award Letter;
Grant Activities	the research or other activities funded by the Grant as specified in the Award Letter;
Grant Budget	the budget for the Grant included in the Admin Officer Letter;
Grant Holder	the Lead Applicant, and any Co-Applicant, named in the Award Letter;
Grant Period	the period stated in the Award Letter (or as may be extended with the written consent of Cystic Fibrosis Trust/Guts UK);
Guts UK	Guts UK Charity, a registered charity in England and Wales (No. 1137029) and a company limited by guarantee registered in England and Wales (No. 07274105);
Intellectual Property (IP)	all inventions, patents, discoveries, materials, technologies, products, data, algorithms, software, patents, databases, copyright, rights in design, other intellectual property and know-how;

Interim Reports	interim reports (if any) on the progress of the Grant Activities to be provided in respect of the periods stated in the Award Letter;
Special Conditions	any conditions attaching to the Grant, in addition to these terms and conditions, as set out in the Award Letter;
Start Date	the start date of the Grant Activities set out in the Award Letter, or as may subsequently be changed with Cystic Fibrosis Trust/Guts UK's written consent.